

Nonlinear Behavior of Self-excited Induction Generator Feeding an Inductive Load

D.D.Ma, *Student Member, IEEE*, B. Zahawi, *Senior Member, IEEE*, D. Giaouris, *Member, IEEE*, S. Banerjee, *Senior Member, IEEE*, and V. Pickert, *Member, IEEE*

Abstract-- The nonlinear behavior of a self-excited, smooth air gap, cage induction generator feeding an inductive load is analyzed in this paper, allowing for the effects of machine saturation. The self autonomous system is shown to exhibit a transition from a periodic orbit to a quasi-periodic orbit through a Neimark bifurcation.

Index Terms-- Bifurcation theory, induction generator, induction machine, inductive load, nonlinear dynamics

I. INTRODUCTION

INDUCTION generators are widely used in conjunction with small hydro or wind turbine to produce electric power, mainly due to their low cost, compared with synchronous machines. The generator in such applications is usually connected directly to the ac supply network which also provides the necessary reactive power for the production of the machine rotating magnetic flux. This need for reactive power limits the use of the induction machine as a stand alone generator for remote applications where a supply connection is not available. To overcome this problem the reactive power can be supplied from a capacitor bank connected across the stator terminals, allowing the machine to work as a Self-Excited Induction Generator (SEIG) in the absence of a supply connection.

State space methods [1]-[4] have to be used to model and study the dynamics of these systems. The states of the system may be the machine currents, fluxes or a combination of these [5], [6]. The model must also include components that represent the magnetic nonlinearities (mainly cross-saturation phenomena) of the machine [7] as the machine is normally working with values of magnetic flux density near the saturation level. Hence the overall model of the system will be highly nonlinear and time varying. The dynamical analysis of the system is further complicated by the use of capacitor bank which provides the reactive power to the generator. This paper studies the dynamical behavior of self-excited induction generators and shows that it is possible to have bifurcation phenomena which force the system to change its desired stable

response. The bifurcation that causes this loss of stability is shown to be a Neimark bifurcation.

The machine nonlinear model is presented and described and the operation of the self-excited generator on no-load, and when feeding a purely resistive load, is examined to show that the system exhibits a normal period one orbit. When linear inductive components are included in the load the machine undergoes a transition from a stable period one orbit to a quasi-periodic through a Neimark bifurcation.

II. MODELING OF THE SATURATED INDUCTION MACHINE

The mathematical model of the induction machine uses four states (currents and/or fluxes) and is linear time-varying rotor speed depended. If the chosen states are the stator and rotor currents expressed at a Stationary Reference Frame (SRF) the model is:

$$\mathbf{U} = \mathbf{R}\mathbf{I} + \mathbf{L}_1 \frac{d\mathbf{I}}{dt} + \omega_r \mathbf{L}_2 \quad (1)$$

where \mathbf{U} is the vector with the stator and rotor voltages, \mathbf{I} is the vector with the stator and rotor currents, \mathbf{R} is the resistive matrix, ω_r is the rotor speed and \mathbf{L}_1 , \mathbf{L}_2 are inductive matrices. To model the nonlinearity the last two matrices have to change and to be a function of the magnetizing current instead of being constant. Hence the 5 matrices of the magnetically nonlinear system are:

$$\mathbf{U} = [u_{sD} \quad u_{sQ} \quad u_{rd} \quad u_{rq}]^T, \quad \mathbf{I} = [i_{sD} \quad i_{sQ} \quad i_{rd} \quad i_{rq}]^T, \\ \mathbf{R} = \text{diag}(R_s, R_s, R_r, R_r), \\ \mathbf{L}_1 = \begin{bmatrix} L_{sd} & L_{dq} & L_{md} & L_{dq} \\ L_{dq} & L_{sq} & L_{dq} & L_{mq} \\ L_{md} & L_{dq} & L_{rd} & L_{dq} \\ L_{dq} & L_{mq} & L_{dq} & L_{rq} \end{bmatrix}, \quad \mathbf{L}_2 = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & L_m & 0 & L_r \\ -L_m & 0 & -L_r & 0 \end{bmatrix}$$

where L_m is the magnetizing inductance: $L_m = \frac{|\bar{\psi}_m|}{|\bar{i}_m|}$

The cross-saturation inductance (L_{dq}) is [7]:

$$L_{dq} = \frac{i_{md}i_{mq}}{i_m} \times \frac{dL_m}{d|\bar{i}_m|} \quad (2)$$

this equation can be simplified to:

$$L_{dq} = \frac{i_{md}i_{mq}}{i_m} \times \frac{L - L_m}{|\bar{i}_m|} \quad (3)$$

where $L = d|\bar{\psi}_m|/d|\bar{i}_m|$ is the dynamic inductance.

D. D. Ma, B. Zahawi, D. Giaouris and V. Pickert are with the School of Electrical, Electronic and Computer Engineering, Newcastle University, Newcastle upon Tyne, NE1 7RU, UK (email: bashar.zahawi@ncl.ac.uk).

S. Banerjee is with the Indian Institute of Technology, Kharagpur Centre for Theoretical Studies and Department of Electrical Engineering, 721302, India (email: soumitro@iitkgp.ac.in).

The nonlinear curves of the magnetizing and dynamic inductance are taken from [7] and are shown in Fig. 1.

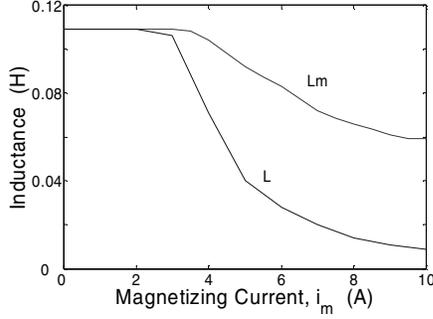


Fig. 1. The saturated magnetizing inductance curve L_m and the dynamic inductance curve L .

The direct and quadrature axis saturated inductances are

$$L_{md} = L_m + \frac{i_{md}}{i_{mq}} L_{dq}, \quad L_{mq} = L_m + \frac{i_{mq}}{i_{md}} L_{dq} \quad (4)$$

The stator and rotor dq axis inductance are as following

$$\begin{aligned} L_{sd} &= L_{sl} + L_{md}; & L_{sq} &= L_{sl} + L_{mq} \\ L_{rd} &= L_{rl} + L_{md}; & L_{rq} &= L_{rl} + L_{mq} \end{aligned} \quad (5)$$

where L_{sl} and L_{rl} are the unsaturated stator and rotor leakage inductance, respectively. The mechanical equation between the prime mover and the electrical torque is

$$T_e + T_m = J \frac{d\omega}{dt} \quad (6)$$

where

$$T_e = \frac{3}{2} P (\psi_{rq} i_{rd} - \psi_{rd} i_{rq}) \quad (7)$$

III. THE MACHINE PARAMETERS AND THE PROCEDURES OF RUNNING THE SEIG

By using the equations that were presented in section II a 4-pole start connected IG of 1.5kW, with a capacitor bank (135 μ F per phase) was simulated. The rated voltage and current of the machine were 220/380V and 7/4A respectively and the rated frequency was 50Hz. The stator and rotor resistances were 0.6 Ω and 0.83 Ω respectively while the stator and rotor impedances were 1.8 Ω /phase and 1.8 Ω /phase respectively. The prime mover was represented by a dc machine rotating at 1500rev/min. To represent the effect of the capacitor bank and the various loads that were applied the following dq equivalent circuit was used [8]:

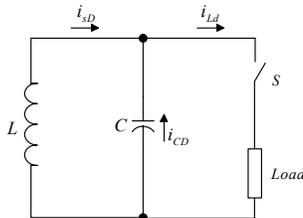


Fig. 2. Stator direct component without load, i_{CD} is the capacitor current and i_{Ld} is the load current.

A. The Initial Self Excitation of the Induction Machine with No Load

As the machine is working under no load the switch S remains open and hence the d-q voltages are:

$$u_{CD} = -u_{sD} = -\frac{1}{C} \int i_{sD} dt \quad (8)$$

$$u_{CQ} = -u_{sQ} = -\frac{1}{C} \int i_{sQ} dt \quad (9)$$

By using the mathematical model which is presented in section II and by using (8) and (9) it is possible to simulate the behavior of the IG which is driven by a dc machine at a constant speed of 1500 rev/min under no load. From that test it can be seen that as the stator voltage increases (entering the saturation area) so does the magnetizing current and hence a big drop of the magnetizing inductance is observed, Fig.4, which agrees with the curves shown in Fig.1.

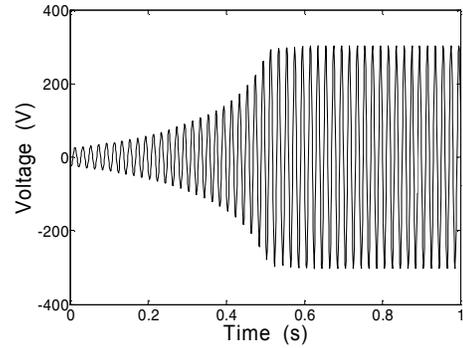


Fig. 3. Stator line to line voltage builds up without load.

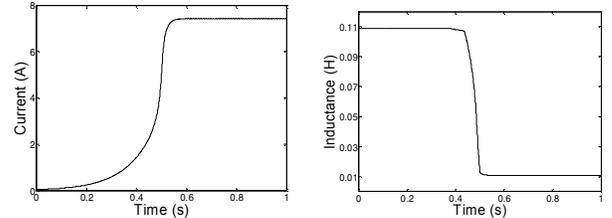


Fig. 4. Variation of magnetizing current (a) and magnetizing inductance (b) with voltage builds up without load.

B. The SEIG with a Resistive Load

If the contactor S closes and the IG is supplying a resistive load the extra equations needed are:

$$u_{CD} = -u_{Ld} = -Ri_{Ld} \quad (10)$$

$$i_{CD} = -C \frac{du_{Ld}}{dt} = -RC \frac{di_{Ld}}{dt} \quad (11)$$

$$i_{sD} = -i_{CD} + i_{Ld} \quad (12)$$

$$i_{sD} = RC \frac{di_{Ld}}{dt} + i_{Ld} \quad (13)$$

$$u_{CQ} = -u_{Lq} = -Ri_{Lq} \quad (14)$$

$$i_{sQ} = RC \frac{di_{Lq}}{dt} + i_{Lq} \quad (15)$$

By using these equations the IG was simulated and its response is shown in Figs. 5 & 6. Initially the IG is under no load and at 0.1s a resistive load of 27 Ω is applied. It is clear from that figure that there is a drop at the output voltage as the system has to supply the extra load.

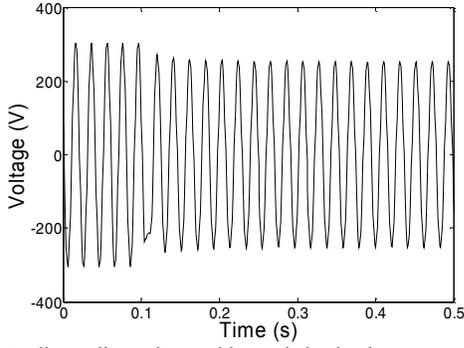


Fig. 5. Stator line to line voltage with a resistive load.

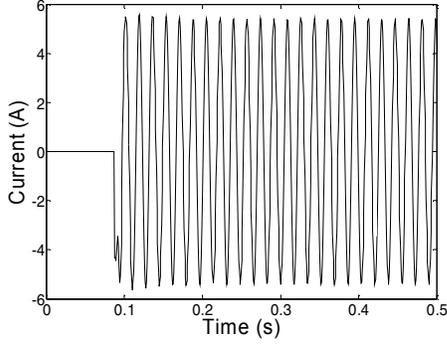


Fig. 6. Load current (i_{LD}) with a resistive load.

From these figures it can be seen that (regardless of the voltage drop) the solution curve in the state space follows a closed curve of period 1. At this point it has to be stated that in practice another capacitor is used in series with the resistive load which greatly decreases the voltage drop but from the dynamical point of view the behavior of the system remained qualitatively the same (i.e. the system exhibits a similar stable period one orbit) and hence due to space limitation it is not shown.

IV. THE NONLINEAR BEHAVIORS OF SEIG FEEDING AN INDUCTIVE LOAD

In this section a balanced three phase inductive load of 30Ω and 15mH per phase has been added to the system when the machine is driven at a constant speed of 1500rev/min . Therefore the equations describing this system are:

$$u_{CD} = -u_{Ld} = -Ri_{Ld} - L \frac{di_{Ld}}{dt} \quad (16)$$

$$i_{CD} = -C \frac{du_{Ld}}{dt} = -RC \frac{di_{Ld}}{dt} - LC \frac{d^2 i_{Ld}}{dt^2} \quad (17)$$

$$i_{sD} = -i_{CD} + i_{Ld} \quad (18)$$

$$i_{sD} = RC \frac{di_{Ld}}{dt} + LC \frac{d^2 i_{Ld}}{dt^2} + i_{Ld} \quad (19)$$

$$u_{CQ} = -u_{Lq} = -Ri_{Lq} - L \frac{di_{Lq}}{dt} \quad (20)$$

$$i_{sQ} = RC \frac{di_{Lq}}{dt} + LC \frac{d^2 i_{Lq}}{dt^2} + i_{Lq} \quad (21)$$

Thus the state equations of capacitor voltages of both axes are obtained by substituting (19) into (16) and (21) into (20).

The response of the system was investigated for various values of the capacitance. For $C=135\mu\text{F}$ (see Figs. 7 - 8) the response of the system is a period one closed orbit which indicates that the system operates within the desired specification. As this is a high order system it is not possible to plot all states and therefore only two representative states are shown in Fig. 8. All other combinations gave similar results and hence are not shown here.

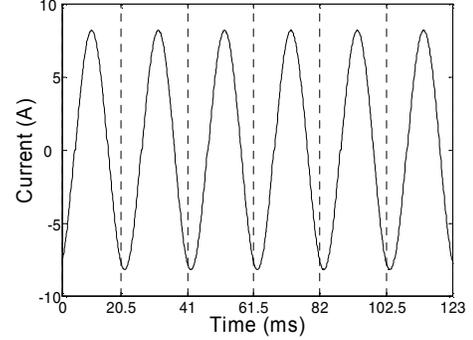


Fig. 7. Stator phase A current for $C=135\mu\text{F}$

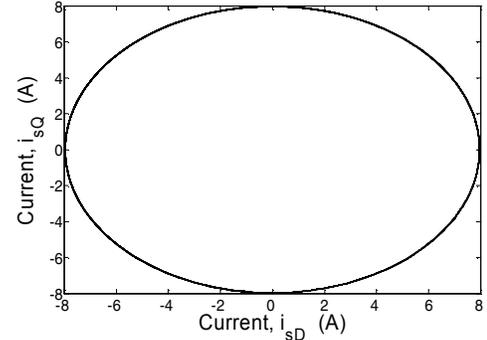


Fig. 8. Phase plane diagram for $C=135\mu\text{F}$

When the capacitance is increased to $156\mu\text{F}$ the response of the system changes to what initially appeared to be a period seven limit cycle (Fig. 9). A closer look reveals that the solution does not follow any periodic pattern but is instead a quasi-periodic behavior. By ignoring the initial transients the phase space was plotted using 5000 samples. Fig. 10 shows that the locus of the solution lies on a “toroid typed” manifold (difficult to visualize in such a high order).

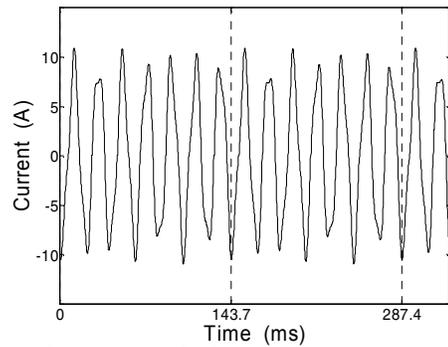


Fig. 9. Stator phase A current for $C=156\mu\text{F}$

Apart from this to prove that the system exhibits a quasi-periodic behavior it must be shown that the solution is dense on the torus, the Poincaré section is a closed orbit and also to

show the bifurcation diagram. Other techniques can also be used like the Lyapunov exponent or the eigenvalues of the monodromy matrix of the period one orbit but in this paper only the first set is presented.

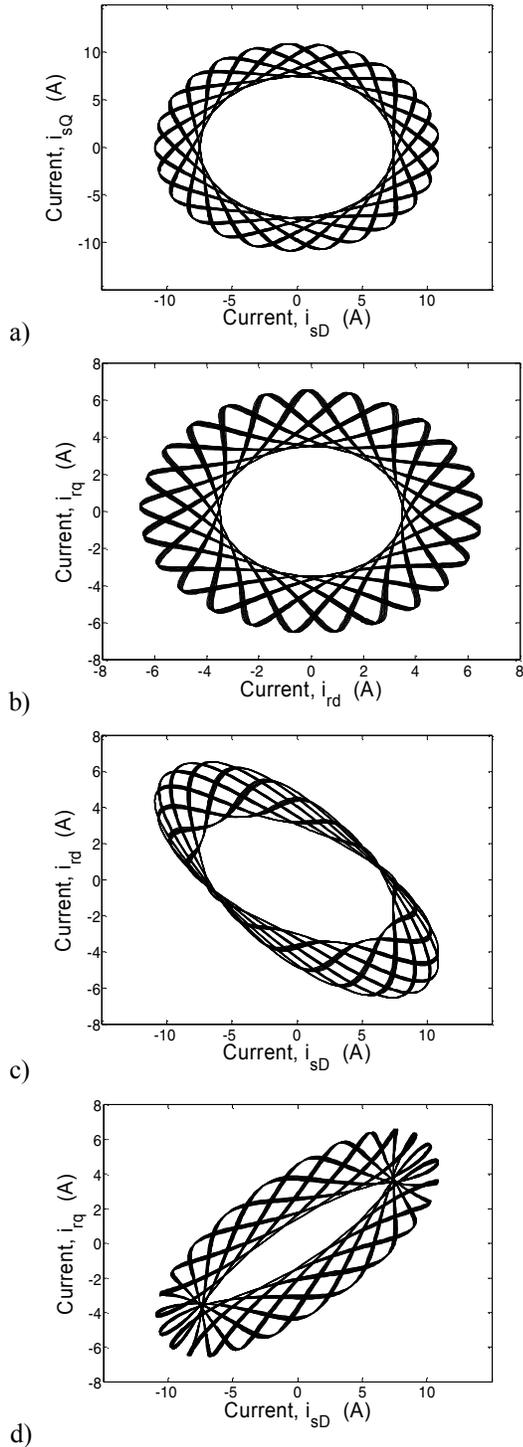


Fig. 10. Phase plane diagram for $C=156\mu\text{F}$ (5000 sample points) (5000 sample points)

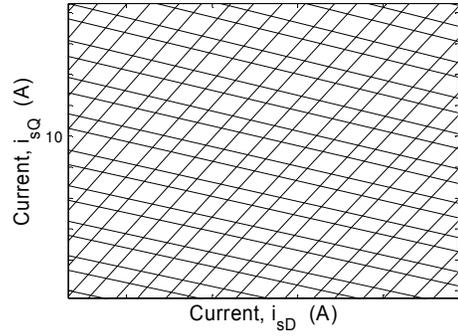


Fig. 11. Dense orbit in the torus

Fig. 11 shows the 20000 samples after the initial transient of one of previous tori and is clearly demonstrated that the orbit is dense on the torus. Furthermore, by sampling the state vector when the current i_{sD} is zero the Poincaré map of the system is derived and as it can be seen by Fig.12 it is a closed orbit which again proves the statement that the orbit is quasi-periodic.

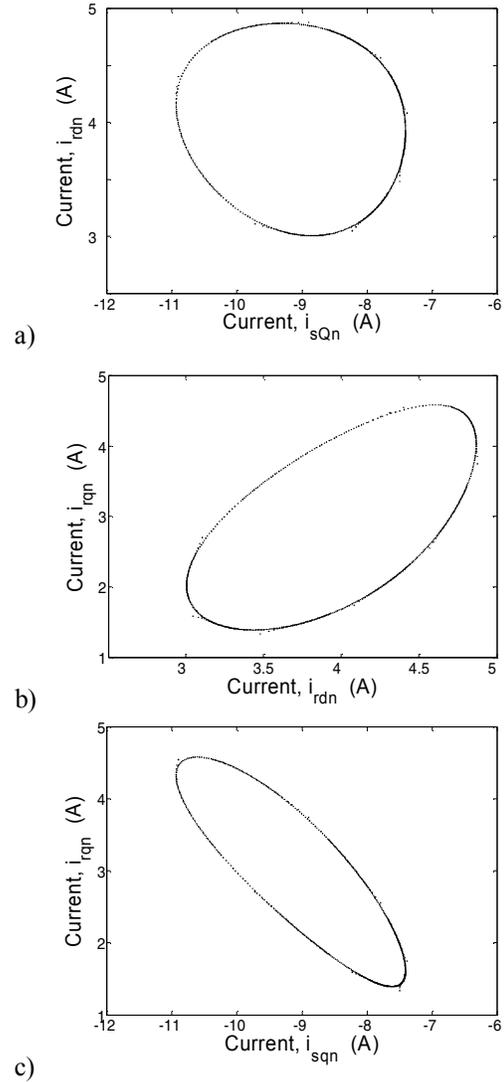


Fig. 12. Poincaré section of stator q-axis versus rotor q-axis sample.

The final test is to create the bifurcation diagram of the system which in this case it was chosen to be the sampled value of the q-axis stator current when the d-axis stator current is zero, the bifurcation variable was the value of the capacitors used in the capacitor bank. Fig. 13 shows that diagram and it can be seen that the system loses its stability through a Neimark bifurcation and hence the system exhibits a quasi-periodic orbit [9].

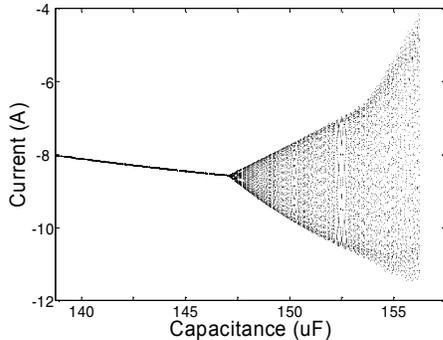


Fig. 13. Bifurcation diagram of stator q-axis current.

V. CONCLUSIONS

The performance of the self-excited induction generator with no load, resistive load and compensate capacitors is studied. The nonlinear model utilizing currents as state variables is then connected with an inductive load to the stator terminal. The nonlinear behaviors of the induction generator are investigated through a bifurcation diagrams, phase spaces and Poincaré sections while changing a control parameter, the self-excited capacitors. The results show that the autonomous dynamical system loses its stability from period one orbit moving to a quasi-periodic orbit as a result of small changes in the values of system parameters (in this case the self-excited capacitors). The practical experiments of the machine will be examined and compared with the simulation models in the future work.

VI. REFERENCES

- [1] P. K. Shadhu Khan and J. K. Chatterjee, "Three-Phase Induction Generators: A Discussion on Performance," *Taylor & Francis Electric Machines and Power Systems*, vol. 27, pp. 813-832, August 1999.
- [2] J. M. Elder, J. T. Boys, and J. L. Woodward, "Self-excited induction machine as a small low-cost generator," *IEE Proceedings*, vol. 131, Pt. C, No. 2, pp. 33-41, March 1984.
- [3] J. E. Brown, K. P. Kovacs, and P. Vas, "A Method of including the Effects of Main Flux Path Saturation in The Generalized Equations of A. C. Machines," *IEEE Trans. Power Apparatus and Systems*, vol. PAS-102, No. 1, pp. 96-103, January 1983.
- [4] P. Vas, K. E. Hallenius, and J. E. Brown, "Cross- Saturation Smooth-Air- Gap Electrical Machines," *IEEE Trans. Energy Conversion*, vol. 1, No. 1, pp. 103-113, March 1986.
- [5] P. Vas, *Electrical Machines and Drives — A space-vector theory approach*, Oxford, Clarendon Press, 1992.
- [6] F. A. Farret, B. Palle, and M. G. Simões, "State Space Modeling Parallel Self-excited Induction Generators for Wind Farm Simulation," *Industry Applications Conference, 2004. 39th IAS Annual Meeting. Conference Record of the 2004 IEEE*, vol. 4, pp. 2801-2807, October 2004.
- [7] K. E. Hallenius, P. Vas, and J. E. Brown, "The Analysis of a Saturated Self-Excited Asynchronous Generator," *IEEE Trans. Energy Conversion*, vol. 6, No. 2, pp. 336-341, June 1991.
- [8] M. G. Simões and F. A. Farret, *Renewable Energy Systems: Design and Analysis with Induction Generators*, CRC Press, 2004.
- [9] S. Banerjee and G. C. Verghese, *Nonlinear Phenomena in Power Electronics*, New York, IEEE Press, 2001.

VII. BIOGRAPHIES

Dandan Ma was born in 1980, at Shanghai, China. She received the BEng degree in Electrical Engineering and Automation from Shanghai Teacher's University in 2002, the MSc in Automation and Control from Newcastle University in 2004. She is currently a PhD student at School of Electrical and Electronic Engineering at Newcastle University.

Bashar Zahawi received his BSc and PhD degrees in electrical and electronic engineering from the University of Newcastle, England, in 1983 and 1988, respectively. From 1988 to 1993 he was a design engineer at Cortina Electric Company Ltd, a UK manufacturer of large ac variable speed drives and other power conversion equipment. In 1994, he was appointed as a Lecturer in Electrical Engineering at the University of Manchester and in 2003 he joined the School of Electrical, Electronic & Computer Engineering at Newcastle University, where he is currently the Director of Postgraduate Studies. His research interests include power conversion, variable speed drives and the application of nonlinear dynamical methods to transformer and power electronic circuits.

Soumitro Banerjee did his B.E. from the Bengal Engineering College (Calcutta University) in 1981, M.Tech. from IIT Delhi in 1983, and Ph.D. from the same Institute in 1987. He has been in the faculty of the Department of Electrical Engineering, IIT Kharagpur, since 1986. Dr. Banerjee's areas of interest are the nonlinear dynamics of power electronic circuits and systems, and border collision bifurcations. He has published three books: "Nonlinear Phenomena in Power Electronics" (IEEE Press, 2001), "Dynamics for Engineers" (Wiley, 2005), and "Wind Electrical Systems" (Oxford University Press, 2005). He served as Associate Editor of the IEEE Transactions on Circuits & Systems II (2003-05), and is currently serving as Associate Editor of the IEEE Transactions on Circuits & Systems I. He is a recipient of the S. S. Bhatnagar Prize (2003), and the Citation Laureate Award (2004). He is a Fellow of the Indian Acad. of Sci. and of the Indian National Academy of Engineering.

Damian Giaouris received the diploma of Automation Engineering from the Automation Department, Technological Educational Institute of Thessaloniki, Greece, in 2000, the MSc degree in Automation and Control with distinction from Newcastle University in 2001 and the PhD degree in the area of control and stability of Induction Machine drives in 2004. His research interests include advanced nonlinear control & estimation of electromagnetic devices, and nonlinear phenomena in power electronic converters. He is currently a lecturer in Control Systems at Newcastle University, UK.

Volker Pickert received his Dipl.-Ing. in Electrical and Electronic Engineering from the RWTH Aachen, Germany and the University of Cambridge, UK (1994). He received his PhD from the Newcastle University in 1998. From 1998 to 2003 he worked first for Semikron International as an application engineer and then for Volkswagen as project manager responsible for power electronic systems and electric drives for electric-, hybrid- and fuel cell vehicles. Since 2003 he is Senior Lecturer at Newcastle University. His research interests are power electronics for automotive applications, thermal management, fault tolerant converters and non-linear controllers.